

2163<sup>#2</sup> BT

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. 02-06-02  
5749

In Re Application Of: **Brian C. ROUNDTREE**

Serial No.	Filing Date	Examiner	Group Art Unit
09/783,610	07/15/01	Unknown	Unknown

Title: **ASSEMBLING PERSONAL INFORMATION OF A TARGET PERSON BASED UPON THIRD-PARTY INFORMATION AND A REQUEST PURPOSE**



Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

*[Handwritten mark]*

RECEIVED  
JAN 18 2002  
Technology Center 2100

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
5749

In Re Application: **Brian C. ROUNDTREE**

Serial No.  
09/783,610

Filing Date  
07/15/01

Examiner  
Unknown

Group Art Unit  
Unknown

**ASSEMBLING PERSONAL INFORMATION OF A TARGET PERSON BASED UPON  
INFORMATION AND A REQUEST PURPOSE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 14-0425 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*Lance Vietzke*  
Signature

Lance Vietzke, Reg. No.: 36,708

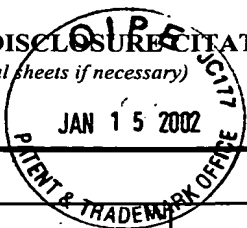
Dated: 1/14/02

CC:

RECEIVED  
JAN 18 2002  
Technology Center 2100

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

5749

Application Number

09/783,610

Applicant(s)

Brian C. ROUNDTREE

Filing Date

February 15, 2001

Group Art Unit

Unknown

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,167,253	12/26/00	Farris et al.	455	412	
		5,895,471	04/20/99	King et al.	707	104	
		5,297,144	03/22/94	Gilbert et al.	370	95.2	
		6,173,316 B1	01/09/01	De Boor et al.	709	218	
		5,652,867	07/29/97	Barlow et al.	395	500	
		5,970,449	10/19/99	Alleva et al.	704	235	
		5,893,127	04/06/99	Tyan et al.	707	513	
		5,355,472	10/11/94	Lewis	395	600	
		5,774,874	06/30/98	Veeneman et al.	705	27	
		5,946,687	08/31/99	Gehani et al.	707	10	
		4,783,800	11/08/88	Levine	379	67	

**RECEIVED**  
 JAN 18 2002  
 Technology Center 2100

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

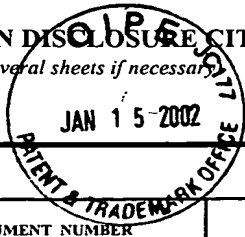
		"Restaurantrow.com Offers Free Database", December 14, 1999, (2) pages
		"Restaurantrow.com Announces Partnership with Myway.com", November 11, 1999, (2) pages

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

5749

Application Number

09/783,610

Applicant(s)

Brian C. ROUNDTREE

Filing Date

February 15, 2001

Group Art Unit

Unknown

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,838,315	11/17/98	Craycroft et al.	345	333	
		5,544,288	08/06/96	Morgan et al.	395	157	
		6,006,225	12/21/99	Bowmann et al.	707	5	
		5,963,949	10/05/99	Gupta et al.	707	100	
		6,064,980	05/16/00	Jacobi et al.	705	26	
		5,845,219	12/01/98	Henriksson	455	567	
		5,696,497	12/09/97	Mottier et al.	340	825.44	
		5,966,655	10/12/99	Hardouin	455	418	
		5,737,491	04/07/98	Allen et al.	395	2.79	
		5,903,870	05/11/99	Kaufman	704	275	
		5,991,723	11/23/99	Duffin	704	260	

**RECEIVED**  
 JAN 18 2002  
 Technology Center 2100

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		"Restaurantrow.com Launches "Top Table" and Opens the Doors to New York City's Most Sought After Restaurants", October 28, 1999, (2) pages
		"Restaurant Row to Support CBS.com Onling Restaurant Guide, Allowing Visitors to Sample More Than 100,000 Menus", "Today's news"

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

JAN 15 2002

Docket Number (Optional)

5749

Application Number

09/783,610

Applicant(s)

Brian C. ROUNDTREE

Filing Date

February 15, 2001

Group Art Unit

Unknown

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,029,135	02/22/00	Krasle	704	275	
		5,953,393	09/14/99	Culbreth et al.	379	88.25	
		6,038,534	03/14/00	Richards	704	275	
		6,061,718	05/09/00	Nelson	709	206	
		6,088,731	07/11/00	Kiraly et al.	709	229	
		6,065,120	05/16/00	Laursen et al.	713	201	
		5,327,144	07/05/94	Stilp et al.	342	387	
		6,073,005	06/06/00	Raith et al.	455	404	
		5,844,522	12/01/98	Sheffer et al.	342	457	
		5,629,678	05/13/97	Gargano et al.	340	573	
		5,930,700	07/27/99	Pepper et al.	455	414	

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

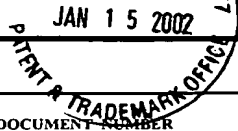
	Matthew W. Ragas, "Restaurant Row Sees Green on Their Plates", April 29, 1998, (3) pages
	Alex Philippidis, "Restaurant Row Offers Diners Online Listings in 25 Countries", Busines Journal, (3) pages

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)



Docket Number (Optional) <b>5749</b>	Application Number <b>09/783,610</b>
Applicant(s) <b>Brian C. ROUNDTREE</b>	
Filing Date <b>February 15, 2001</b>	Group Art Unit <b>Unknown</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,058,415	05/02/00	Polcyn	709	200	
		6,047,053	04/04/00	Miner et al.	379	201	
		5,983,200	11/09/99	Slotznick	705	26	
		5,872,841	02/16/99	King et al.	379	209	
		5,289,531	02/22/94	Levine	379	93	
		6,021,181	02/01/00	Miner et al.	379	88.23	
		4,893,329	01/09/90	O'Brien	379	88	
		5,652,789	07/29/97	Miner et al.	379	201	
		5,467,388	11/14/95	Redd, Jr. et al.	379	196	
		5,479,476	12/26/95	Finke-Anlauff	379	58	
		6,006,221	12/21/99	Liddy et al.	707	5	

**RECEIVED**  
 JAN 18 2002  
 Technology Center 2100  
 RECEIVED  
 JAN 18 2002  
 Technology Center 2100

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

		Michael Creadon, "Traveler's Advisory", July 21, 1997 Vol. 150 No. 3, Time, (2) pages
		"Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) specifications", June 26 1997, pp. 1-446

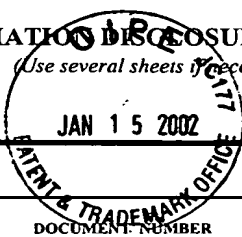
EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

JAN 15 2002



Docket Number (Optional)

5749

Application Number

09/783,610

Applicant(s)

Brian C. ROUNDTREE

Filing Date

February 15, 2001

Group Art Unit

Unknown

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,862,325	01/19/99	Reed et al.	395	200.31	
		5,931,907	08/03/99	Davies et al.	709	218	

**RECEIVED**  
 JAN 18 2002  
 Technology Center 2100

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Charles W. L. Hill, "Microsoft's Windows CE: Digital Devices and the Next Computing Paradigm", 1999, pp. 1-21

"FIPA's First Call for Proposals", October 1996, Foundation for Intelligent Physical Agents, pp. 1-30

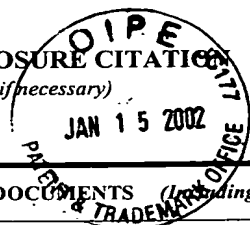
EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional) <b>5749</b>	Application Number <b>09/783,610</b>
Applicant(s) <b>Brian C. ROUNDTREE</b>	
Filing Date <b>July 15, 2001</b>	Group Art Unit <b>Unknown</b>

*EXAMINER INITIAL	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Stephen Downes, "Stephen's Web/Threads". November 11, 1999, (12) pages
	"Mindmaker - Simply Intelligent", Category: Personal Productivity Software, Copyright 1999 by Mindmaker, Inc. (1) page
	"Prody Parrot - Your Intelligent, Personal Assistant", Copyright 1999 by Mindmaker, Inc., (1) page, Prody Parrot Helps You!
	Tim Musgrove, "Intelligent Personal Assistants with Advanced Speech and Gesture Recognition - Helping Users Control Applications, Navigate the Web, and Get Information", (4) pages, February 1, 1999
	"http://www.luxlook.com/DetermineSite.process", Where are you Today?, (1) page
	"http://www.luxlook.com/DetermineSiteNoCookie.process?Selected+Country=United+States", Luxlook.com, (1) page
	"http://www.luxlook.com/gatxGiftSearch.process?RestartFlow=t", Luxlook.com, (1) page
	"http://www.luxlook.com/gatxGiftSearch.process?RestartFlow=t", Luxlook.com, (1) page
	"http://www.luxlook.com/gatxGiftSearch.process?RestartFlow=t&GoSearch-Submit&for2=W&personal", Luxlook.com, Search Results, (5) pages, Valentino, Versace
	"http://www.luxlook.com/en US/Templates/CS/cs about.tem", Who We Are, Luxlook.com, (1) page
	"http://www.gsmdata.com/cannes99/cannespaper.htm", Paper: Bluetooth-Technology: The Convergence of Computing and Communications, (6) pages, "GSM Data Knowledge Site - Stephen Nachtsheim, February 25, 1999 - GSM World Congress
	"http://www.wi-fi.org/index.html", Wireless Ethernet, (34) pages, February 29, 2000, Wi-Fi-System Interoperability Test Plan, WECA Wireless Ethernet Compatibility Alliance

**RECEIVED**  
 JAN 18 2002  
 Technology Center 2100

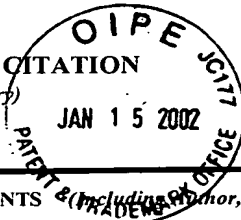
EXAMINER	DATE CONSIDERED
----------	-----------------

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

5749

Application Number

09/783,610

Applicant(s)

Brian C. ROUNDTREE

Filing Date

February 15, 2001

Group Art Unit

Unknown

\*EXAMINER  
INITIAL

OTHER DOCUMENTS (Including Prior Art, Title, Date, Pertinent Pages, Etc.)

"http://www.cisco.com/", Cisco Connection Online by Cisco Systems, Inc., All contents copyright 1992-2000, Cisco Systems, Inc. Important Notices and Privacy Statement, (1) page

"http://www.cisco.com/warp/public/44/jump/wireless.shtml", Cisco Wireless, General Information, (1) page

"http://www.cisco.com/warp/public/cc/pd/witc/ao340ap/", Cisco - Cisco Aironet 340 Series Products, (2) pages

"http://www.3com.com/", 3Com Home, (1) page

"http://www.3com.com/wireless/index.html", 3Com Wireless Products and Technologies, (1) page

"http://www.portico.com/", Home, (1) page

"http://www.wildfire.com/company\_background.html", Wildfire Background, (1) page, Wildfire's Genesis

"http://www.wildfire.com/consumer\_qa.html", consumer Q & A, (3) pages

"http://www.phone.com/company/index.html", Phone.com - Company Page, (2) pages

"http://www.phone.com/products/upbrowser.html", Phone.com - UP.Browser, (1) page

"http://www.myaladdin.com/www/companyinfo/whoweare.jsp", Who We Are - myAladdin, (1) page

"Adobe PostScript 3", The industry-standard printing and imaging technology, (2) pages

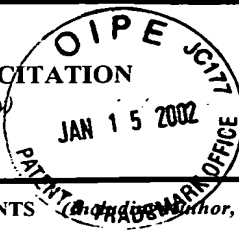
EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

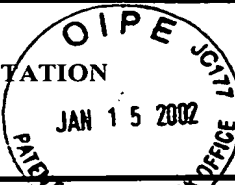


Docket Number (Optional) <b>5749</b>	Application Number <b>09/783,610</b>
Applicant(s) <b>Brian C. ROUNDTREE</b>	
Filing Date <b>February 15, 2001</b>	Group Art Unit <b>Unknown</b>

*EXAMINER INITIAL	OTHER DOCUMENTS (Indicate Author, Title, Date, Pertinent Pages, Etc.)
	Nadja Vol Ochs, "A Coast-to-Coast Cascade of Style Sheets", October 14, 1997, (4) pages, msdn online - Web Workshop
	"Choosing Between CSS and XSL", Microsoft XML 2.5 SDK - XSL Developer's Guide, 1999 Microsoft Corporation. All rights reserved. Terms of use.
	"3Com AirConnect 11 Mbps Wireless LAN Solution Simple Connectivity When and Where You Need It, (6) pages
	Daniel L. Lough et al., "A Short Tutorial on Wireless LANs and IEEE 802.11" (5) pages
	3Com AirConnect, "3Com AirConnect Access Points, PC Cards and PCI Cards, (2) pages
	"Technical Summary of "IrDA DATA" and "IrDA CONTROL"", (4) pages
	"Infrared Data Association Serial Infrared Physical Layer Measurement Guidelines", Version 1.0, January 16, 1998, (35 pages)
	Timothy Masters, "Practical Neural Network Recipes in C++", IBM Disk included with source code for all programs, (Chaper 16, 17 and 18 with (3) coversheets)
	<a href="http://www.lhsl.com/voicexpress/">http://www.lhsl.com/voicexpress/</a> , "L&H Voice Xpress - Introduction - L&H", (2) pages
	<a href="http://www.nuance.com/index.htm?SCREEN=nuance7">http://www.nuance.com/index.htm?SCREEN=nuance7</a> , Nuance - Products - Nuance7, "Nuance 7.0", (2) pages
	<a href="http://www.nuance.com/index.htm?SCREEN=speechobjects">http://www.nuance.com/index.htm?SCREEN=speechobjects</a> , Nuance - Products - SpeechObjects, "Nuance SpeechObjects", (3) pages
	"Bluetooth Protocol Architecture Version 1.0", (20) pages
EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*(Use several sheets if necessary)*



5749

**09/783,610**

**Brian C. ROUNDTREE**

**February 15, 2001**

## Unknown

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

**"Test System Validation Guideline", Document No. 1.Q.198/0.8 June 9th 2000, Bluetooth, (19) pages**

RECEIVED  
JAN 18 2002  
Technology Center 2100  
Page 33

**DATE CONSIDERED**

**SHEET 9 OF 9**